

Please type a plus sign (+) inside this box [ + ]

PTO/SB/05 (12/97)

Approved for use through 09/30/00. OMB 0651-0032

Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

## UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No. 81862.P125

Total Pages 2

First Named Inventor or Application Identifier Kirk Sanders

Express Mail Label No. EL371007345US

ADDRESS TO: Assistant Commissioner for Patents  
Box Patent Application  
Washington, D. C. 20231

### APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

1.  X  Fee Transmittal Form  
(Submit an original, and a duplicate for fee processing)
2.  X  Specification (Total Pages 30)  
(preferred arrangement set forth below)
  - Descriptive Title of the Invention
  - Cross References to Related Applications
  - Statement Regarding Fed sponsored R & D
  - Reference to Microfiche Appendix
  - Background of the Invention
  - Brief Summary of the Invention
  - Brief Description of the Drawings (if filed)
  - Detailed Description
  - Claims
  - Abstract of the Disclosure
3.  X  Drawings(s) (35 USC 113) (Total Sheets 6)
4.  X  Oath or Declaration (Total Pages 5)
  - a.  X  Newly Executed (Original or Copy)
  - b.   Copy from a Prior Application (37 CFR 1.63(d))  
(for Continuation/Divisional with Box 17 completed) (**Note Box 5 below**)
  - i.   DELETIONS OF INVENTOR(S) Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).
5.   Incorporation By Reference (useable if Box 4b is checked)  
The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 4b, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.
6.   Microfiche Computer Program (Appendix)

12/01/97

- 1 -

PTO/SB/05 (12/97)

7. ☐ Nucleotide and/or Amino Acid Sequence Submission  
(if applicable, all necessary)  
a. ☐ Computer Readable Copy  
b. ☐ Paper Copy (identical to computer copy)  
c. ☐ Statement verifying identity of above copies

**ACCOMPANYING APPLICATION PARTS**

8. ☐ Assignment Papers (cover sheet & documents(s))  
9. ☐ a. 37 CFR 3.73(b) Statement (where there is an assignee)  
☐ b. Power of Attorney  
10. ☐ English Translation Document (if applicable)  
11. ☐ a. Information Disclosure Statement (IDS)/PTO-1449  
☐ b. Copies of IDS Citations  
12. ☐ Preliminary Amendment  
13. ☒ Return Receipt Postcard (MPEP 503) (Should be specifically itemized)  
14. ☐ a. Small Entity Statement(s)  
☐ b. Statement filed in prior application, Status still proper and desired  
15. ☐ Certified Copy of Priority Document(s) (if foreign priority is claimed)  
16. ☐ Other: \_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

17. If a **CONTINUING APPLICATION**, check appropriate box and supply the requisite information:  
☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP)  
of prior application No: \_\_\_\_\_

**18. Correspondence Address**

\_\_\_\_\_ Customer Number or Bar Code Label

\_\_\_\_\_ (Insert Customer No. or Attach Bar Code Label here)

or

☒ Correspondence Address Below

NAME Lester J. Vincent Reg. #31,460

*Lester J. Vincent* 5/28/99

BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP

ADDRESS 12400 Wilshire Boulevard

Seventh Floor

CITY Los Angeles

STATE California

ZIP CODE 90025-1026

Country U.S.A.

TELEPHONE (408) 720-8598

FAX (408) 720-9397

12/01/97

- 2 -

PTO/SB/05 (12/97)

Approved for use through 09/30/00. OMB 0651-0032  
Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Attorney Docket Number: 81862.P125

APPLICATION FOR UNITED STATES LETTERS PATENT

FOR

A BACKGROUND TEST SYSTEM FOR TIME DIVISION MULTIPLEXING  
SWITCHING SYSTEMS

Inventors: Kirk D. Sanders  
Wing C. Chau

Prepared by: Blakely, Sokoloff, Taylor & Zafman LLP  
1279 Oakmead Parkway  
Sunnyvale, California 94086-4039  
(408) 720-8598

"Express Mail" mailing label number: EL371007345US

Date of Deposit: May 28, 1999

I hereby certify that I am causing this paper or fee to be deposited with the United States Postal Service  
"Express Mail Post Office to Addressee" service on the date indicated above and that this paper or fee has  
been addressed to the Commissioner of Patents and Trademarks, Washington, D. C. 20231

Cheri Clinkenbeard

(Typed or printed name of person mailing paper or fee)

(Signature of person mailing paper or fee)

(Date signed)

# A BACKGROUND TEST SYSTEM FOR TIME DIVISION MULTIPLEXING SWITCHING SYSTEMS

## FIELD OF THE INVENTION

5           The present invention relates to a test system for a time division multiplexing system. More particularly, the present invention relates to using time slots to transmit test signals in a time division multiplexing switching system, thus testing the connectivity, the digital signal processors, or the packet switching of the time division multiplexing switching system.

## 10   BACKGROUND

          Developments in router technology have led to system designs that provide a general-purpose connection-oriented transfer mode for a wide range of services. These services include the simultaneous transfer of integrated traffic (data, voice, and video traffic) over the same network system. To efficiently  
15   transmit the integrated traffic, prior art systems have relied on a transmission card that processes multiple channels of voice, video, and data using banks of digital signal processors ("DSPs"). Typically, the transmission card comprises a group of framers coupled to a bank of transmission lines. The transmission card also comprises a time slot interchanger ("TSI") coupled between the framers and  
20   the banks of DSPs .

          The TSI is typically a non-blocking switch that can connect any time slot of an incoming time division multiplexed ("TDM") stream to a different time slot of an outgoing TDM stream. Thus, in the prior art, a bank of transmission lines are



Similarly, the remaining framers of card 160 (Framer 121 - 12N) transfer TDM streams from other T1 lines (not shown) to TSI 130 on different lines or in a shared fashion -- for example along a wired OR line. TSI 130, in turn, generates an outgoing TDM that is transferred to DSP 140, DSP 141, or DSP 142. Thus, TSI  
5 130 may transfer a time slot of data from line 115 to DSP 140, DSP 141, or DSP 142.

System 100 provides a basic system for connecting PBX devices to a network. Specifically, controller 170 maintains a call connection between the devices coupled to PBX 110 and remote devices coupled to network 150.

10 Typically, controller 170 sets up or tears down the call connections between the devices coupled to PBX 110 and remote devices coupled to network 150 using a switch virtual call protocol or a permanent virtual call protocol. Accordingly, in response to a call set up message, controller 170 instructs TSI 130 to switch time slots from line 115 to a DSP of card 160, thus transferring data between network  
15 150 and a device coupled to PBX 110.

For example, in response to a call set up message, controller 170 may transfer a voice call from phone 105 to a remote device coupled to network 150. Alternatively, controller 170 may transfer data from facsimile 106 to a remote device coupled to network 150, thus resulting in a flexible call connection system.

20 Although system 100 provides a flexible call connection system, the complex interconnectivity of system 100 results in numerous disadvantages during the transmission of voice calls. In particular, voice calls require a reliable system to

ensure a high quality of service between different customers. One disadvantage results from an interconnectivity error reducing the voice transmission reliability of system 100. Another disadvantage results from a DSP error reducing the voice transmission reliability of system 100.

11/11/2019 10:11:11 AM

## SUMMARY OF THE INVENTION

It is therefore an object of the invention to provide a testing system that tests the interconnectivity of a transmission system.

It is a further object of the invention to provide a testing system that tests  
5 the digital signal processors of a transmission system.

It is a further object of the invention to provide a testing system that tests the connection time slots of a time slot interchanger used in a transmission system.

It is a further object of the invention to provide a non-destructive testing  
10 system that tests a transmission system without affecting active connections.

These and other objects of the invention are provided by a method for testing a transmission system and an apparatus comprising a transmission system. The method comprises receiving a time division multiplexed (TDM) stream on an input of the transmission system. For one embodiment, the TDM  
15 stream comprises a plurality of data fields and a plurality of unused fields. The method also comprises inserting test data in one or more of the plurality of unused fields of the TDM stream. Additionally, the method comprises transferring the TDM stream along a plurality of components of the transmission system and comparing the test data against the transferred test data.

20 The transmission system comprises a controller. For one embodiment, the controller is operable to set up call connections between interfaces of the transmission system. The transmission system also comprises a framer block



coupled to the controller. For one embodiment, the framer block is operable to generate time division multiplexed (TDM) streams having a plurality of data fields and a plurality of unused fields. Additionally, the transmission system comprises a field programmable gate array (FPGA) coupled to the controller and  
5 the framer block. For one embodiment, the FPGA is operable to insert test data in one or more of the plurality of unused fields. Furthermore, the transmission system comprises a plurality of time slot interchangers ("TSIs") coupled to the controller and the FPGA. For another embodiment, the TSIs are operable to switch the fields of the TDM stream.

10 Other objects, features, and advantages of the present invention will be apparent from the accompanying drawings and from the detailed description that follows.

## BRIEF DESCRIPTION OF THE DRAWINGS

The features and advantages of the present invention are illustrated by way of example and not limitation in the figures of the accompanying drawings in which like references indicate similar elements and in which:

5        Figure 1 shows a prior art transmission system;

Figure 2 illustrates one embodiment of a transmission system using a field programmable gate area;

Figure 3 illustrates one embodiment of a frame in a time division multiplexed stream;

10       Figure 4 illustrates one embodiment of a field programmable gate array;

Figure 5 shows one embodiment of a flow chart illustrating the testing of a transmission system; and

Figure 6 shows one embodiment of a flow chart illustrating the testing of a digital signal processor.

## DETAILED DESCRIPTION

A method for testing a transmission system is disclosed. For one embodiment, the transmission system comprises a time division multiplexing system that switches data between an incoming time division multiplexed ("TDM") stream and outgoing TDM stream. The data switching allows for the transfer of data between a bank of digital signal processors ("DSPs") and the interfaces of the transmission system. For one embodiment, a first interface of the transmission system is coupled to a cell-based multi-service network comprising Frame Relay, Asynchronous Transfer Mode ("ATM"), High-level Data Link Control ("HDLC"), Internet Protocol ("IP"), or Time Division Multiplexed ("TDM") networks. For another embodiment, the second interface of the transmission system is coupled to a plurality of transmission lines that follow a T1 transmission protocol, thus the transmission lines comprise a transmission speed of 1.544 Mbits per second with twenty-four voice channels multiplexed onto a single twisted-pair. For yet another embodiment, the transmission lines follow an E1 transmission protocol with thirty-two voice channels multiplexed onto a single twisted-pair.

For one embodiment, test data is inserted in a time slot of a TDM stream via a field programmable array ("FPGA"). For alternative embodiments, test data may be inserted in a time slot of a TDM stream via logic circuits including application specific integrated circuits. The time slot is transferred throughout the transmission system via a time slot interchanger ("TSI") of the transmission

system. Subsequently, the transferred test data is compared against a copy of the test data and the connectivity of the transmission system is determined.

For another embodiment, the test data is inserted in an unused time slot of the transmission system's TDM stream. In particular, during normal  
5 operation, the unused time slots may be set to a value of all "0s" or all "1s." To test the components of the transmission system, however, the unused time slots may be replaced with test data. The insertion of the test data in an unused time slot ensures that the testing of the transmission system does not reduce the operational capability of the transmission system.

10 For yet another embodiment, the test comprises the testing of a DSP in the transmission system. In particular, a test signal is generated by a DSP of the transmission system. The signal is transmitted by the DSP to a TSI of the transmission system in an unused time slot. The TSI, in turn, re-transmits the signal back to the DSP in a subsequent unused time slot. Thus, if the DSP  
15 receives a duplicate of the transmitted signal, the DSP and the TSIs are deemed to be operational.

Thus, an intended advantage of an embodiment of the invention is to provide a testing algorithm that determines the connectivity of a transmission system without interrupting the operation of the transmission system.

20 Another intended advantage of an embodiment of the invention is to provide a testing system that determines the operation of the DSPs of a

transmission system without interrupting the operation of the transmission system.

Yet another intended advantage of an embodiment of the invention is to provide a test system that tests the connection time slots of a time slot

5 interchanger used in a transmission system.

Figure 2 illustrates one embodiment of a transmission system using a field programmable gate array ("FPGA"). In particular, system 200 comprises a transmission system that interchanges the time slot allocation of data received or transmitted by the interfaces of system 200 -- i.e. a time division multiplexing system. As illustrated in Figure 2, system 200 comprises a plurality of framers F211 - F21N (block 210) coupled to a FPGA (220) via lines 215a and 215b. FPGA 220, in turn, is coupled to a plurality of digital signal processors (DSP bank 230 - 250) via time slot inter-changers (TSIs 225 - 227). For one embodiment, both DSP bank 230 and DSP bank 240 comprise six DSPs. For another embodiment, DSP bank 250 comprises 24 DSPs. For yet another embodiment, each TSI comprises a non-blocking switch that switches data between sixteen inputs and sixteen outputs, alternatively sixteen input/outputs ("I/Os"). Additionally, each TSI may operate in a minimum delay mode or a constant delay mode. In the minimum delay mode the TSIs transfer data in the same frame the data is received. In the constant delay mode, however, the TSIs transfer data in a subsequent frame from which the data is received.

For one embodiment, block 210 includes eight framers coupled to line 215a and line 215b. For another embodiment, each of the framers of block 210 is coupled to a T1 line (not shown). Accordingly, block 210 combines the incoming TDM stream from eight T1 lines into a single DSP TDM stream on line 215a.

5 Subsequently, a slot from the DSP TDM stream is routed to one of the DSPs in DSP bank 230 - 250 via FPGA 220 and TSIs 225 - 227. Alternatively, block 210 may receive a framer TDM stream from FPGA 220 via line 215b. The framer TDM stream comprises the data transferred from the DSPs of DSP bank 230 - 250 to FPGA 220.

10 The operational speed of system 200 allows the transfer of data between block 210 and FPGA 220 without any delays. For example, for one embodiment, each framer of block 210 is coupled to a T1 line that transfers 24 time slots of data per frame. Accordingly, both line 215a and line 215b operate on an eight kilo-  
15 hertz clock cycle with 128 slots per frame. Thus, in a period of 0.125 milli-seconds, line 215a and line 215b may transfer 256 time slots of data between block 210 and FPGA 220. In the present example, however, each T1 line transfers 24 time slots of data in a frame. Thus, FPGA 220 may transfer 192 time slots of data and 64 unused time slots per frame. For alternative embodiment, system  
20 200 may operate at a much higher frequency, thus resulting in an increased number of unused time slots available for a given frame. For another embodiment, each time slot transfers eight bits of data.

As illustrated in Figure 2, system 200 also includes controller 290 coupled to both FPGA 220 and the time slot inter-changers (TSIs 225 - 227) via control bus 295. For one embodiment, controller 290 maintains a call connection between the interfaces of system 200. Specifically, controller 290 uses the time slot inter-changers (TSIs 225 - 227) to set up a connection path between the DSP TDM stream on line 260 and a subset of the DSPs in DSP bank 230 - 250. Alternatively, controller 290 uses the time slot inter-changers (TSIs 225 - 227) to set up a connection path between the DSPs in DSP banks and the framer TDM stream on line 270. Thus, by setting the switch points of the time slot inter-changers (TSIs 225 - 227) controller 290 may transfer data between T1 lines (not shown) coupled to block 210 and a network (not shown) coupled to DSP bank 230 - 250.

To facilitate the call connection between the interfaces of system 200, controller 290 also determines which time slots are used to connect a call. For one embodiment, controller 290 maintains a host table (not shown) to determine available time slots. Controller 290 updates the host table to reflect the set up and removal of call connections between the interfaces of system 200. For example, for one embodiment the host table (not shown) comprises the time slots currently used to transfer data between the framers of block 210 and the DSPs of DSP bank 230 - 250. The host table also comprises which time slots are currently used to transfer data between the DSPs of DSP bank 230 - 250 and a network (not shown) coupled to DSP bank 230 - 250. Accordingly, for one embodiment, controller 290 determines the location of the unused time slots on both the DSP

TDM stream (line 260) and the framer TDM stream (line 270) based on the call connections indicated in the host table.

As previously described, controller 290 uses the host table to determine the number of available unused time slots. In particular, controller 290 uses the host table and the operational characteristics of DSP bank 230 - 250 and TSIs 225 - 227 to determine the number of available unused time slots in system 200. For example, for one embodiment, DSP bank 230 - 250 includes thirty-six DSPs. Each of the DSPs may transmit data on one of sixty-four time slots. In the present example, however, controller 290 only uses the first sixteen time slots of a given DSP to set up a call connection. Additionally, in the present example, for each frame a TSI may transfer 128 time slots of data between a given input and a given output. Thus, if the time slots of all the framers (F211 - F21n) and DSPs are used to set up call connections, system 200 comprises the following unused time slots:

$$(128 \text{ time slots})(16 \text{ TSI I/O transfers}) - (8 \text{ framers})(24 \text{ time slots}) - (36 \text{ DSPs}) \\ (16 \text{ time slots used for call connection}) = 1280 \text{ time slots}$$

For another example, each of the framers (F211 - F21N) transfer both voice and control signals. Thus, the framers may transfer 384 time slots -- (2) (8 framers)(24 time slots). Accordingly, if all the framer (F211 - F21n) and DSPs time slots are used to set up call connections, system 200 comprises 1088 unused time slots.

Figure 3 illustrates one embodiment of a frame in a time division

multiplexed stream. In particular, frame 300 comprises 128 time slots (TS0 -



TS127) of data located in a 0.125 ms time slice of TDM stream 320. The boundaries of the 0.125 ms time slice are delineated by lines start of frame ("SOF") 310 and SOF 315 -- SOF 315 indicating the beginning of the next frame.

For one embodiment, frame 300 corresponds to a single frame of the DSP TDM stream transmitted on line 215a. Additionally, time slot TS0 corresponds to a segment of data received from framer F211. Accordingly, to transmit data from F211 to DSP 4 (not shown) of DSP bank 250, controller 290 configures the switching of TSI 225 and TSI 227. Specifically, controller 290 configures TSI 225 so that the first time slot of frame 300 is switched to an Nth time slot (N represents one of the 128 possible time slots switched on a give I/O of TSI 225) Additionally, controller 290 configures TSI 227 so that the Nth time slot of frame 300 is switched to the receiving time slot of DSP 4. Thus, the configuration of TSI 225 and TSI 227 results in the data from F211 being transferred to DSP 4 of DSP bank 250.

For another embodiment, frame 300 corresponds to a single frame of the framer TDM DSP stream transmitted on line 215b. Additionally, time slot TS1 corresponds to the receiving time slot of framer F217. Accordingly, to transmit data from DSP 2 (not shown) of DSP bank 250 to framer F217, controller 290 configures the switching of TSI 226 and TSI 227. In particular, controller 290 configures TSI 227 so that the transmitting time slot of DSP 2 is switched to a Yth time slot. Additionally, controller 290 configures TSI 226 so that the Yth time slot of frame 300 is switched to the second time slot. Thus, the configuration of TSI

225 and TSI 227 results in the data from DSP 2 of DSP bank 250 being transferred to framer F217.

As previously described, increasing the operational frequency of system 200 increases the number of unused time slot available in the DSP TDM stream and the framer TDM stream. For one embodiment, the unused time slots may be used to transmit test data without interrupting the call connection operation of system 200. For an alternative embodiment, the test data transmitted in the unused time slots is generated by an FPGA. Accordingly, during normal operation the FPGA passes data transparently between a framer and a TSI.

During testing, however, the FPGA may generate or receive data on the unused time slots of a frame. Thus, the FPGA may be used to test the connectivity of system 200, a TSI connection set up, the operation of the DSPs, or the operation of a framer.

Figure 4 illustrates one embodiment of a FPGA. In particular, FPGA 400 comprises receiver 440 coupled to line 470, control 420, and register 410. FPGA 400 also comprises control 421 coupled to generator 416. Generator 416, in turn, is coupled to a first input of multiplexor (mux 418) via line 414. Generator 416 is also coupled to the select input of mux 418 via select 417. Using select 417, generator 416 selectively couples the output of mux 418 to line 415 or line 414. Specifically, to transmit test data on line 460, control 421 sets select 417 to a logical high value and line 414 is selectively coupled to line 460. Alternatively, to

transfer TDM data from line 415 to line 460, control 421 sets select 417 to a logical low value and line 415 is selectively coupled to line 460.

For one embodiment FPGA 400 corresponds to FPGA 220. Accordingly, line 415 corresponds to line 215a and line 460 corresponds to line 260.

5 Additionally, line 470 corresponds to both line 215b and line 270 because FPGA 400 transfers the data from line 270 to line 215b. Furthermore, control 420 and control 421 corresponds to two lines of control bus 295.

10 The use of FPGA 400 in system 200 allows for the generation of test data during the operation of system 200. For example, for one embodiment, for a given unused time slot controller 290 sets up a connection path that passes from line 260 through all the DSPs of DSP bank 230 - 250 back to line 270. In particular, controller 290 creates a connection path that travels from the time slot inter-changers (TSIs 225 - 227) to a first DSP and back to the time slot inter-changers. Subsequently, the connection path is continued from the time slot inter-changers (TSIs 225 - 227) to a second DSP and back to the time slot inter-changers. The connection path is continued until all the DSPs are connected. For an alternative embodiment, a connection path is set up that tests a subset of the DSPs in DSP bank 230 - 250. For another embodiment, a connection path between block 210 and FPGA 220 is set up, thus testing the connectivity between the framers (211 - 21N) and FPGA 220.

After the connection path is set up, for one embodiment, controller 290 inputs an eight bit test data sequence into a register of generator 416 via control

421. For another embodiment, the test data sequence is a predetermined eight bit value stored in the register of generator 416. Subsequently, during the unused time slots of a given frame, controller 290 selectively transfers the test data sequence to line 460. Thus, the test data is transmitted via the connection path  
5 established by controller 290.

As previously described, FPGA 400 allows system 200 to either transparently transfer a TDM stream or transmits test data in an unused time slot of the TDM stream. After the test data is routed across a connection path, the test data is latched by receiver 440. For one embodiment, controller 290  
10 transmits a latch signal to receiver 440 via control 420. In response to the latch signal, receiver 440 latches data from an unused time slot transmitted on line 470. Subsequently, receiver 440 compares the latched data to the transmitted test data. For one embodiment, receiver 440 uses a logic comparator to compare the latched data to the transmitted test data. For another embodiment, receiver 440  
15 uses exclusive-or gates to compare the latched data to the transmitted test data.

For yet another embodiment, receiver 440 includes a counter (not shown) coupled to select 417. The counter is operable to decrement a count value inserted in the counter by controller 290. Thus, the transmission of the test data, which is initiated by a transition of select 417, results in the counter counting  
20 down to a zero value. Accordingly, if the counter reaches a value of zero, the unused time slot data on line 470 is latched by receiver 440.

For one embodiment, FPGA 400 stores the result of the comparison operation in register 410. For example, for one embodiment, if the transmitted test data and the data latched by receiver 440 are the same, register 410 includes a zero value. Thus, to determine the connectivity of test system 200, controller 5 290 polls register 410 to check the data stored in register 410. Accordingly, for one embodiment, if the data stored in register 410 equals a zero value, the elements used in the controller 290 connection path are functioning normally. On the other hand, if the data stored in register 410 does not equal a zero value, controller 290 raises an error flag, thus indicating that the elements of the 10 connection path are operating incorrectly.

For example, for one embodiment, for a given unused time slot controller 290 sets up a connection path that passes from line 260 (460) through a subset of the DSPs of DSP bank 230 - 250 back to line 270 (420). Subsequently, generator 416 transmits the test data in the unused time slot. For one embodiment, 15 controller 290 polls register 410 a predetermined time period after the test data transmission. For another embodiment, controller 290 polls register 410 based on a time period that corresponds to the number of DSPs through which the test data is transferred.

For one embodiment, system 200 comprises a card used in an ATM 20 switch. Accordingly, the controller 290 error flag is used to notify a systems manager that the card should be replaced. Alternatively, the controller 290 error flag may be used to notify a systems manager or an active software of the ATM

switch that a specific component of the card should be disabled. For alternative embodiment, system 200 comprises a transmission card used in voice or data transmission systems.

For illustrative purposes, Figure 4 illustrates single lines (460 and 470) coupled to FPGA 400, however, it is contemplated for alternative embodiments that multiple TDM transfer lines are routed via FPGA 400. Test data may be inserted into each of the TDM lines by varying the size of mux 418. For example, for one embodiment, line 460 comprises two TDM streams. Accordingly, each TDM stream is coupled to a multiplexor, thus allowing generator 416 to insert test data in any one of the TDM streams. In another example, line 470 comprises two TDM streams. Accordingly, for one embodiment, each TDM stream is coupled to a different latch and register, thus allowing controller 290 to poll each register independently to determine the connectivity of the connection path using any one of the TDM streams. For another embodiment, both TDM streams are coupled to a single latch in receiver 440, however, the comparison results between the latched data and the test data are input in different register -- each register corresponding to a single TDM stream.

Figure 5 shows one embodiment of a flowchart illustrating the testing of a transmission system. In particular, flowchart 500 comprises blocks 510 through 570. For one embodiment, the blocks show the steps used by system 200 to test the connectivity or operation of the elements in system 200. As illustrated in Figure 5, operation begins in block 510. At block 510, control 290 identifies the

unused time slots available in the DSP TDM stream (line 260) and the framer TDM stream (line 270).

For one embodiment, controller 290 identifies the unused time slots using a host table. In particular, the host table includes a list of time slots that is  
5 updated as call connections are set up and removed between the interfaces of system 200. Thus, controller 290 uses the host table list of time slots to identify the unused time slot of system 200. After the unused time slots are identified block 520 is processed.

At block 520, controller 290 sets up a connection path using the unused  
10 time slots identified in block 510. In particular, controller 290 programs the switching characteristics of TSIs 225 - 227 to transfer test data throughout system 200 using the unused time slots identified in block 510. Controller 290 also programs the DSPs of DSP bank 230 - 250 to receive and transmit test data during unused time slots. For one embodiment, controller 290 sets up a  
15 connection path to test the connectivity of the elements in system 200. For another embodiment, controller 290 sets up a connection path to test the operation of the elements in system 200. Subsequently, block 530 is processed.

At block 530, controller 290 stores test data in a generator and receiver of FPGA 220. For alternative embodiments, FPGA 200 transfers multiple TDM  
20 streams, accordingly, for each TDM stream controller 290 stores the test data in a separate generator corresponding to the TDM stream. Subsequently, block 540 is processed.

At block 540, the test data stored in a generator of the FPGA is transmitted during an unused time slot identified in block 510. For one embodiment, to transmit the test data, controller 290 transmits a select signal to FPGA 220 via control bus 295. For another embodiment, FPGA 220 corresponds to FPGA 400 of Figure 4. Accordingly, the transition of the select signal on control bus 295 results in the output of FPGA 400 switching from line 415 to line 414. For yet another embodiment, the control 290 select signal transmission for the duration of a single time slot, thus transferring the test data for a single time slot. After the test data is transmitted, block 550 is processed.

At block 550, controller 290 polls the register in FPGA 220. For one embodiment, controller 290 polls the register a predetermined time period after the transmission of the test data, thus allowing the transfer of the test data throughout system 200. For another embodiment, the predetermined time period corresponds to the number of TSIs and DSPs through which the test data is transferred. After controller 290 polls the FPGA 220 register, decision block 560 is processed.

At decision block 560, controller 290 examines the polled register value to determine whether the test data was correctly transferred throughout system 200. For one embodiment, if the register includes a zero value, the test data was correctly transferred through system 200 and block 510 is re-processed. If the register does not include a zero value, however, block 570 is processed.



At block 570, controller 290 generates an error flag indicating a fault in the connectivity or operation of the elements in system 200. For one embodiment, system 200 comprises a card used in an ATM switch. Accordingly, the error flag in block 570 is used to notify a systems manager that the card should be replaced.

5 Alternatively, the error flag may be used to notify a systems manager or an active software of the ATM switch that a specific component of the card should be disabled.

Figure 6 shows one embodiment of a flow chart illustrating the testing of a digital signal processor -- hereinafter the "tested DSP." In particular, flowchart 10 600 comprises blocks 610 through 680. For one embodiment, the blocks show the steps used by system 200 to test the connectivity or operation of a DSP in DSP bank 230 - 250. For alternative embodiments, multiple DSPs of DSP bank 230 - 250 are programmed to receive and transmit test data during unused time slots, thus resulting in the testing of multiple DSPs.

15 As illustrated in Figure 6, operation begins in block 610. At block 610, control 290 identifies the unused time slots available in the DSP TDM stream (line 260) and the framer TDM stream (line 270). For one embodiment, controller 290 identifies the unused time slots using a host table. In particular, the host table includes a list of time slots that is updated as call connections are set up and 20 removed between the interfaces of system 200. Thus, controller 290 uses the host table list of time slots to identify the unused time slot of system 200. After the unused time slots are identified block 620 is processed.

At block 620, controller 290 sets up a connection path using the unused time slots identified in block 610. In particular, controller 290 programs the switching characteristics of TSIs 225 - 227 to transfer test data throughout system 200 using the unused time slots identified in block 610. For one embodiment, controller 290 sets up a connection path to test the operation of the tested DSP, thus the connection path comprises of a loop between the tested DSP and a subset of the TSIs. Subsequently, block 630 is processed.

At block 630, controller 290 programs the tested DSP to receive and transmit a test signal data during the unused time slots identified in block 610. For one embodiment, the tested DSP transmits and receives the test signal in a single frame. For another embodiment, the tested DSP transmits the test signal in a first frame and receives the test signal in a following frame. For alternative embodiments, the test signal may comprise a tone or dual tone multi-frequency ("DTMF") digit relay syntax. Subsequently, decision block 640 is processed.

At decision block 640, controller 290 determines whether the tested DSP is required for a call connection set up. Specifically, controller 290 determines whether new call set up requires the unused time slots used by the tested DSP to perform a call connection between the interface of system 200. If a call connection requires the unused time slots used by the tested DSP, block 650 is processed. At block 650, controller 290 removes the connection path set up in block 620 -- i.e. controller 290 tears down the connection path set up in block 620 to facilitate the incoming call set up request. Subsequently, block 610 is

processed. If the call connection does not require the unused time slots used by the tested DSP, however, block 660 is processed.

At block 660, the tested DSP receives the signal transmitted in block 630. Subsequently, decision block 670 is processed. At decision block 670, the tested DSP compares the received signal to the test signal transmitted in block 630. For one embodiment, if the signal received in block 660 corresponds to the signal transmitted in block 630 there are no connectivity or operational errors associated with the tested DSP and block 610 is re-processed. If the signal received in block 660 does not corresponds to the signal transmitted in block 630, block 680 is processed.

At block 680, controller 290 generates an error flag indicating a fault in the connectivity or operation of the tested DSP. For one embodiment, controller 290 generates the error flag in response to an error signal from the tested DSP. For another embodiment, controller 290 generates the error flag in response to an error flag included in the tested DSP. For yet another embodiment, the tested DSP is included in a transmission card. Accordingly, the error flag in block 680 is used to notify a systems manager that the card should be replaced.

Alternatively, the error flag may be used to notify a systems manager that a specific component of the card should be disabled.

In the foregoing specification, the invention has been described with reference to specific exemplary embodiments thereof. It will, however, be evident that various modifications and changes may be made thereof without

Country	Year	Population (millions)	Urban population (millions)	Urban population (%)	Population density (per sq km)	Urban population density (per sq km)	Population growth rate (%)	Urban population growth rate (%)	Population growth rate (%)	Urban population growth rate (%)	Population growth rate (%)	Urban population growth rate (%)
Algeria	1980	10.0	4.0	40.0	100	250	1.5	2.5	1.5	2.5	1.5	2.5
Algeria	1985	10.5	4.5	42.9	105	263	1.8	3.0	1.8	3.0	1.8	3.0
Algeria	1990	11.0	5.0	45.5	110	276	2.1	3.5	2.1	3.5	2.1	3.5
Algeria	1995	11.5	5.5	47.8	115	289	2.4	4.0	2.4	4.0	2.4	4.0
Algeria	2000	12.0	6.0	50.0	120	302	2.7	4.5	2.7	4.5	2.7	4.5
Algeria	2005	12.5	6.5	52.0	125	315	3.0	5.0	3.0	5.0	3.0	5.0
Algeria	2010	13.0	7.0	53.8	130	328	3.3	5.5	3.3	5.5	3.3	5.5
Algeria	2015	13.5	7.5	55.6	135	341	3.6	6.0	3.6	6.0	3.6	6.0
Algeria	2020	14.0	8.0	57.1	140	354	3.9	6.5	3.9	6.5	3.9	6.5
Algeria	2025	14.5	8.5	58.6	145	367	4.2	7.0	4.2	7.0	4.2	7.0
Algeria	2030	15.0	9.0	60.0	150	380	4.5	7.5	4.5	7.5	4.5	7.5
Algeria	2035	15.5	9.5	61.3	155	393	4.8	8.0	4.8	8.0	4.8	8.0
Algeria	2040	16.0	10.0	62.5	160	406	5.1	8.5	5.1	8.5	5.1	8.5
Algeria	2045	16.5	10.5	63.6	165	419	5.4	9.0	5.4	9.0	5.4	9.0
Algeria	2050	17.0	11.0	64.7	170	432	5.7	9.5	5.7	9.5	5.7	9.5
Algeria	2055	17.5	11.5	65.7	175	445	6.0	10.0	6.0	10.0	6.0	10.0
Algeria	2060	18.0	12.0	66.7	180	458	6.3	10.5	6.3	10.5	6.3	10.5
Algeria	2065	18.5	12.5	67.6	185	471	6.6	11.0	6.6	11.0	6.6	11.0
Algeria	2070	19.0	13.0	68.4	190	484	6.9	11.5	6.9	11.5	6.9	11.5
Algeria	2075	19.5	13.5	69.2	195	497	7.2	12.0	7.2	12.0	7.2	12.0
Algeria	2080	20.0	14.0	70.0	200	510	7.5	12.5	7.5	12.5	7.5	12.5
Algeria	2085	20.5	14.5	70.7	205	523	7.8	13.0	7.8	13.0	7.8	13.0
Algeria	2090	21.0	15.0	71.4	210	536	8.1	13.5	8.1	13.5	8.1	13.5
Algeria	2095	21.5	15.5	72.1	215	549	8.4	14.0	8.4	14.0	8.4	14.0
Algeria	2100	22.0	16.0	72.7	220	562	8.7	14.5	8.7	14.5	8.7	14.5
Algeria	2105	22.5	16.5	73.3	225	575	9.0	15.0	9.0	15.0	9.0	15.0
Algeria	2110	23.0	17.0	73.9	230	588	9.3	15.5	9.3	15.5	9.3	15.5
Algeria	2115	23.5	17.5	74.5	235	601	9.6	16.0	9.6	16.0	9.6	16.0
Algeria	2120	24.0	18.0	75.0	240	614	9.9	16.5	9.9	16.5	9.9	16.5
Algeria	2125	24.5	18.5	75.5	245	627	10.2	17.0	10.2	17.0	10.2	17.0
Algeria	2130	25.0	19.0	76.0	250	64						

## CLAIMS

What is claimed is:

- 1           1.     A method for testing a transmission system, the method  
2     comprising:  
3           receiving a time division multiplexed (TDM) stream on an input of the  
4     transmission system, wherein the TDM stream comprises a plurality of data  
5     fields and a plurality of unused fields;  
6           inserting test data in one or more of the plurality unused fields of the  
7     TDM stream;  
8           transferring the TDM stream along a plurality of components of the  
9     transmission system; and  
10          comparing the test data against the transferred test data.
- 1           2.     The method of claim 1, wherein transferring the TDM stream  
2     comprises generating a connection path between the plurality of components of  
3     the transmission system.
- 1           3.     The method of claim 2, wherein the connection path is configured  
2     to transfer the test data between the plurality of components of the transmission  
3     system using one or more of the plurality unused fields of the TDM stream.
- 1           4.     The method of claim 3, further comprising storing the transferred  
2     test data prior to comparing the test data against the transferred test data.

1           5.     The method of claim 3, further comprising generating an error flag  
2 if the test data is different from the transferred test data.

1           6.     A method for testing a digital signal processor (DSP) of a  
2 transmission system, the method comprising:

3           receiving a time division multiplexed (TDM) stream on an input of the  
4 transmission system, wherein the TDM stream comprises a plurality of data  
5 fields and a plurality of unused fields;

6           generating a signal, wherein the signal is generated by the DSP

7           inserting test signal in one or more of the plurality unused fields of the  
8 TDM stream;

9           transferring the TDM stream along a plurality of components of the  
10 transmission system; and

11          comparing the test signal against the transferred test signal.

1           7.     The method of claim 6, wherein transferring the TDM stream  
2 comprises generating a connection path between the plurality of components of  
3 the transmission system.

1           8.     The method of claim 7, wherein the connection path is configured  
2 to transfer the test data between the plurality of components of the transmission  
3 system using one or more of the plurality unused fields of the TDM stream.

1           9.     The method of claim 7, further comprising generating an error flag  
2 if the test signal is different from the transferred test signal.

1           10.    A transmission system comprising:  
2           a controller, wherein the controller is operable to set up call connections  
3   between interfaces of the transmission system;  
4           a framer block coupled to the controller, wherein the framer block is  
5   operable to generate time division multiplexed (TDM) stream having a plurality  
6   of data fields and a plurality of unused fields;  
7           a logic circuit coupled to the controller and the framer block, wherein the  
8   logic circuit is operable to insert test data in one or more of the plurality of  
9   unused fields; and  
10          a plurality of time slot interchangers coupled to the controller and the  
11   FPGA, wherein the TSIs are operable to switch the fields of the TDM stream.

1           11.    The transmission system of claim 10, wherein the time slot  
2   interchangers are further operable to transfer the test data along components of  
3   the transmission system using one or more of the plurality of unused fields.

1           12.    The transmission system of claim 11, wherein the logic circuit  
2   comprises a receiver, the receiver operable to store the transferred test data.

1           13.    The transmission system of claim 12, wherein the logic circuit  
2   further comprises a comparator, the comparator configured to compare the  
3   inserted test data and the transferred test data.

1           14.    The transmission system of claim 13, wherein the logic circuit is  
2 further operable to generate an error flag if the inserted test data is different from  
3 the transferred test data.

1           15.    The transmission system of claim 14, wherein the logic circuit  
2 comprises a field programmable gate array.



## **ABSTRACT**

A method for testing a transmission system is disclosed. The method comprises receiving a time division multiplexed (TDM) stream on an input of the transmission system. The method also comprises inserting test data in one or  
5 more of the plurality unused fields of the TDM stream. Additionally, the method comprises transferring the TDM stream along a plurality of components of the transmission system and comparing the test data against the transferred test data.

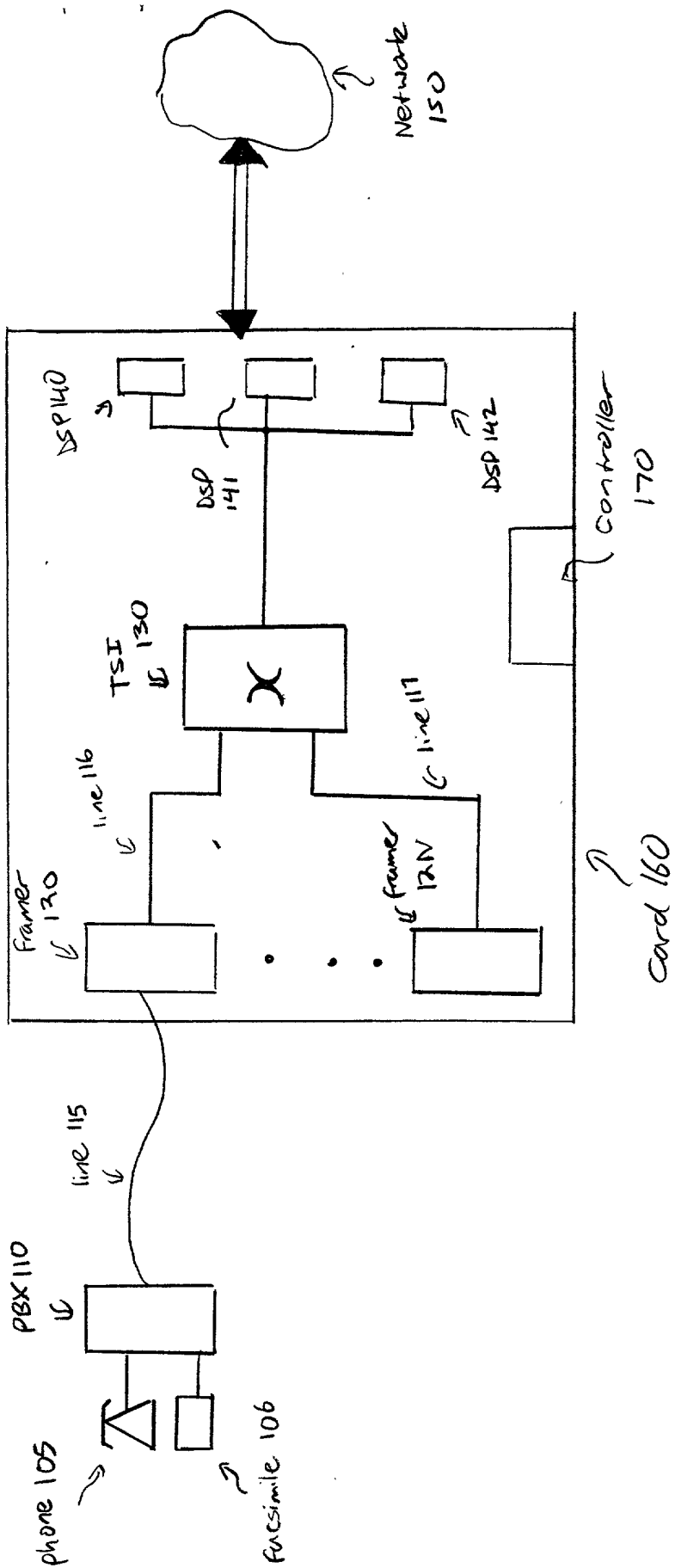


Figure 1 (PRIOR ART)

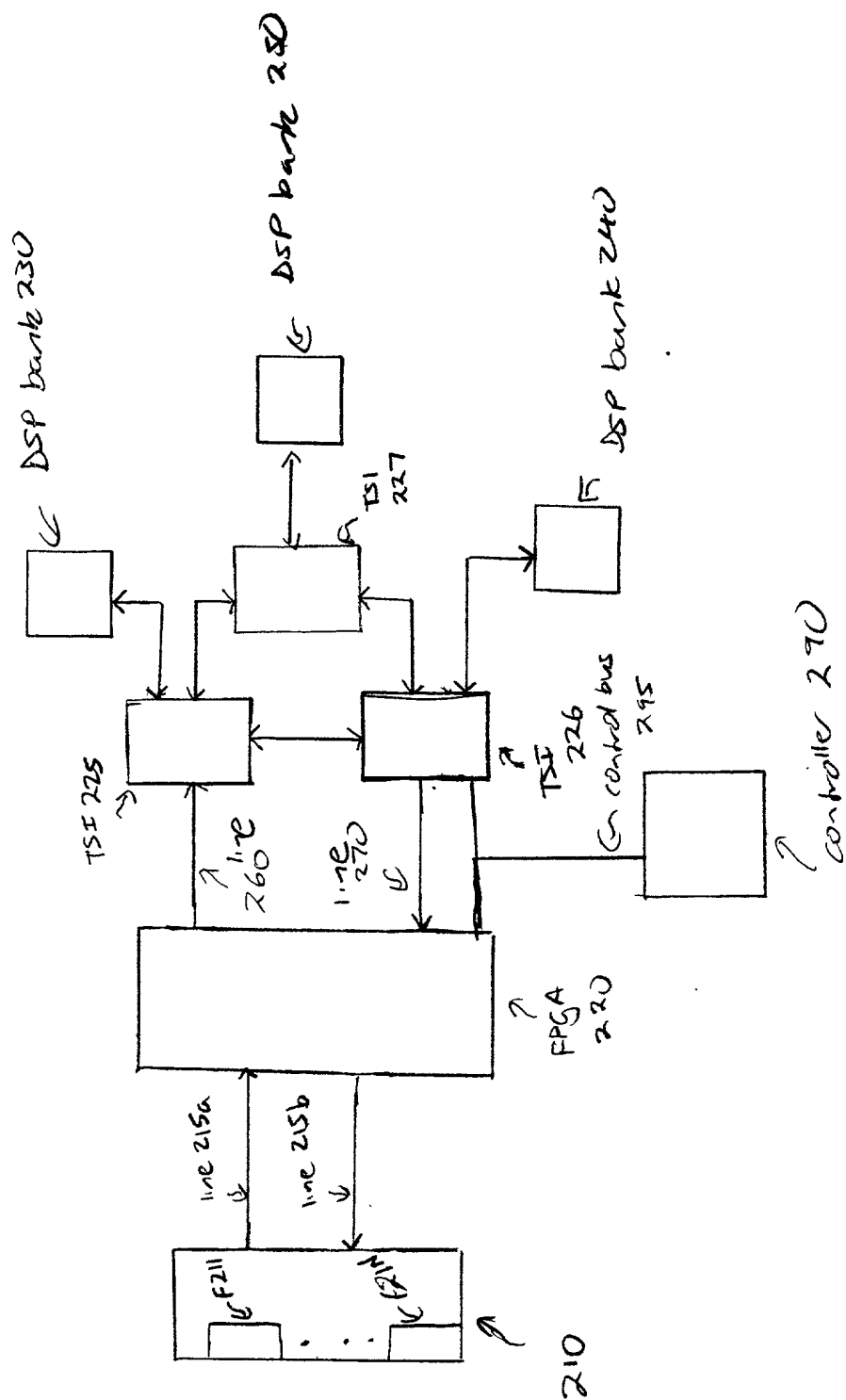


Figure 2

Handwritten notes and symbols at the top of the page.

300

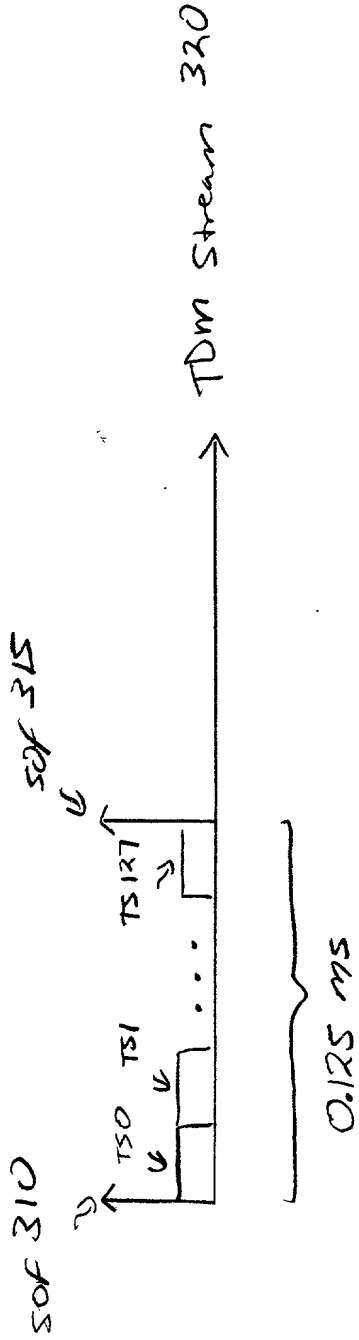


Figure 3



22-141 50 SHEETS  
22-142 100 SHEETS  
22-144 200 SHEETS

22-141 50 SHEETS  
 22-142 100 SHEETS  
 22-144 200 SHEETS  
 FPGA 400 ✓

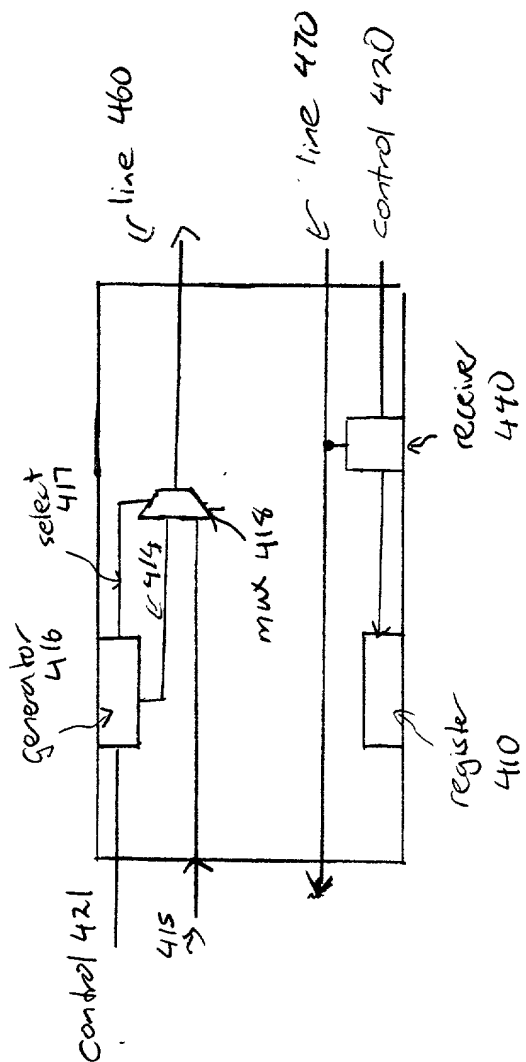
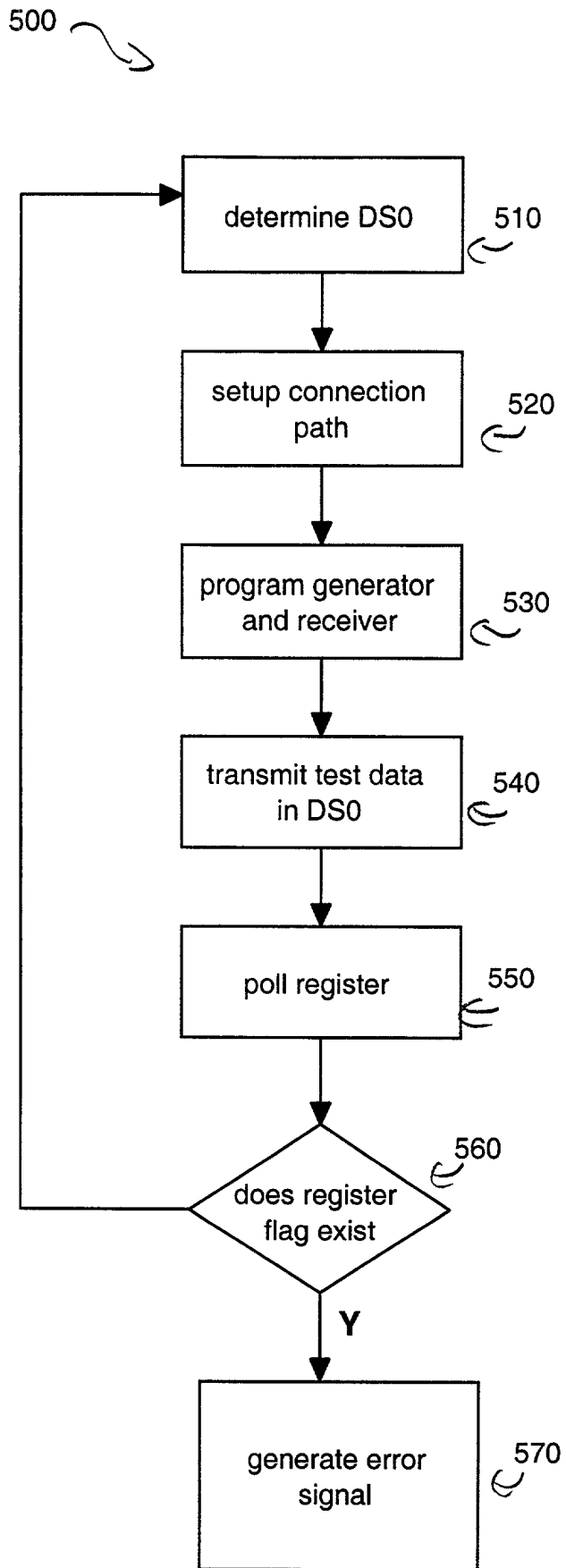


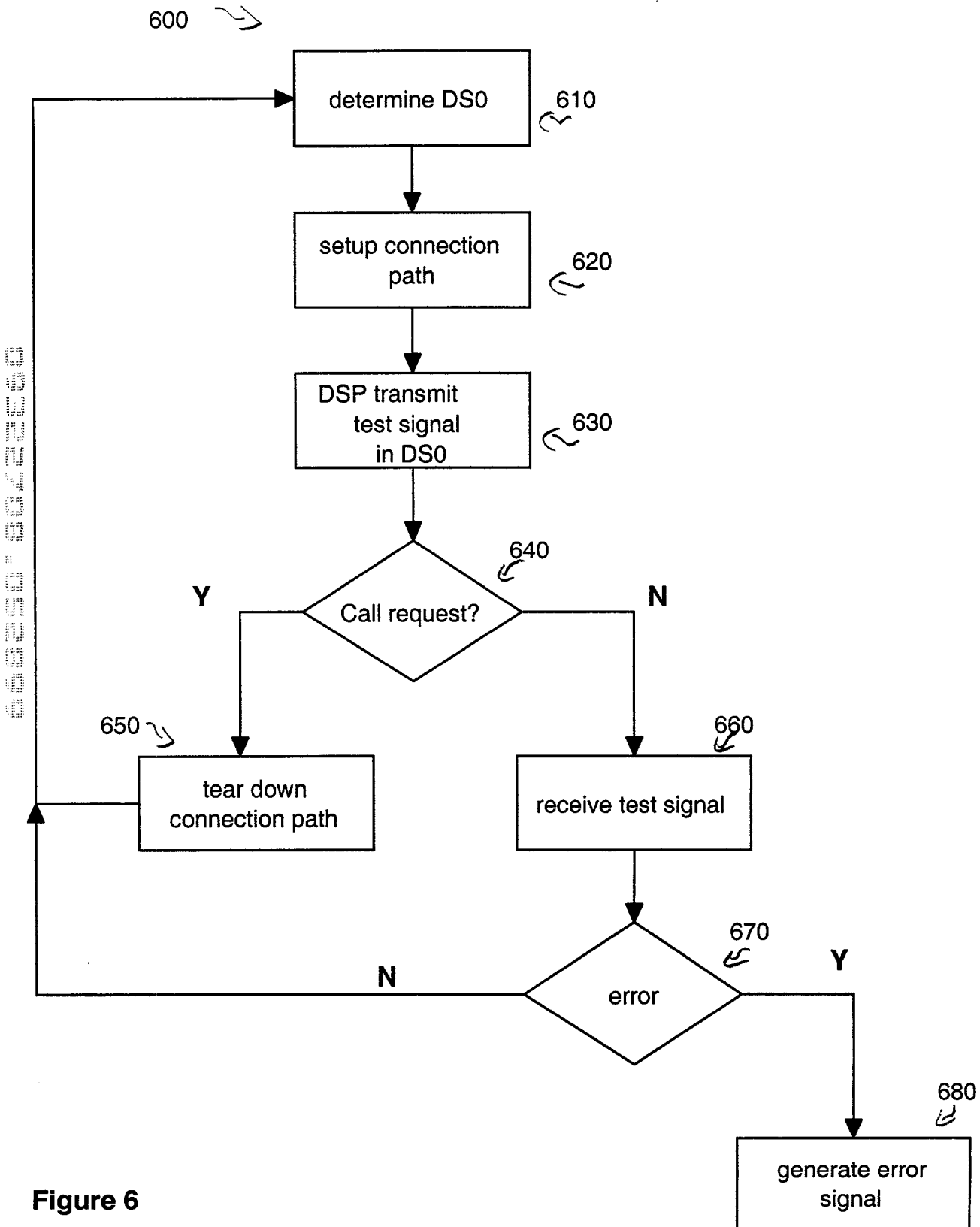
Figure 4



22-141 50 SHEETS  
 22-142 100 SHEETS  
 22-144 200 SHEETS



**Figure 5**



**Figure 6**

DECLARATION AND POWER OF ATTORNEY FOR PATENT APPLICATION

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below, next to my name.

I believe I am the original, first, and sole inventor (if only one name is listed below) or an original, first, and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled  
**A BACKGROUND TEST SYSTEM FOR TIME DIVISION MULTIPLEXING SWITCHING SYSTEMS**

the specification of which

XXX is attached hereto.  
 was filed on \_\_\_\_\_ as  
 United States Application Number \_\_\_\_\_  
 or PCT International Application Number \_\_\_\_\_  
 and was amended on \_\_\_\_\_  
 (if applicable)

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claim(s), as amended by any amendment referred to above.

I acknowledge the duty to disclose all information known to me to be material to patentability as defined in Title 37, Code of Federal Regulations, Section 1.56.

I hereby claim foreign priority benefits under Title 35, United States Code, Section 119(a)-(d), of any foreign application(s) for patent or inventor's certificate listed below and have also identified below any foreign application for patent or inventor's certificate having a filing date before that of the application on which priority is claimed:

<u>Prior Foreign Application(s)</u>			<u>Priority Claimed</u>	
(Number)	(Country)	(Day/Month/Year Filed)	Yes	No
_____	_____	_____	_____	_____
(Number)	(Country)	(Day/Month/Year Filed)	Yes	No
_____	_____	_____	_____	_____
(Number)	(Country)	(Day/Month/Year Filed)	Yes	No
_____	_____	_____	_____	_____

"Express Mail" mailing label number: EL3710073456  
 Date of Deposit: 5/28/99

I hereby certify that I am causing this paper or fee to be deposited with the United States Postal Service "Express Mail Post Office to Addressee" service on the date indicated above and that this paper or fee has been addressed to the Commissioner of Patents and Trademarks, Washington, D.C. 20231

Sheri Linkenbeard  
 (Typed or printed name of person mailing paper or fee)

Sheri Linkenbeard  
 (Signature of person mailing paper or fee)

5/28/99  
 (Date signed)



I hereby claim the benefit under title 35, United States Code, Section 119(e) of any United States provisional application(s) listed below

(Application Number)	Filing Date
(Application Number)	Filing Date

I hereby claim the benefit under Title 35, United States Code, Section 120 of any United States application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code, Section 112, I acknowledge the duty to disclose all information known to me to be material to patentability as defined in Title 37, Code of Federal Regulations, Section 1.56 which became available between the filing date of the prior application and the national or PCT international filing date of this application:

(Application Number)	Filing Date	(Status -- patented, pending, abandoned)
(Application Number)	Filing Date	(Status -- patented, pending, abandoned)

I hereby appoint Farzad E. Amini, Reg. No. P42,261; Aloysius T. C. AuYeung, Reg. No. 35,432; Amy M. Armstrong, Reg. No. 42,265; William Thomas Babbitt, Reg. No. 39,591; Carol F. Barry, Reg. No. 41,600; Jordan Michael Becker, Reg. No. 39,602; Bradley J. Berezna, Reg. No. 33,474; Michael A. Bernadieu, Reg. No. 35,934; Roger W. Blakely, Jr., Reg. No. 25,831; Gregory D. Caldwell, Reg. No. 39,926; Kent M. Chen, Reg. No. 39,630; Lawrence M. Cho, Reg. No. 39,942; Yong S. Choi, Reg. No. P43,324; Thomas M. Coester, Reg. No. 39,637; Roland B. Cortes, Reg. No. 39,152; Barbara Bokanov Courtney, Reg. No. 42,442; Michael Anthony DeSanctis, Reg. No. 39,957; Daniel M. De Vos, Reg. No. 37,813; Robert Andrew Diehl, Reg. No. 40,992; Tarek N. Fahmi, Reg. No. 41,402; James Y. Go, Reg. No. 40,621; Richard Leon Gregory, Jr., Reg. No. 42,607; Dinu Gruia, Reg. No. P42,996; David R. Halvorson, Reg. No. 33,395; Thomas A. Hassing, Reg. No. 36,159; Phuong-Quan Hoang, Reg. No. 41,839; Willmore F. Holbrow III, Reg. No. P41,845; George W. Hoover II, Reg. No. 32,992; Eric S. Hyman, Reg. No. 30,139; Dag H. Johansen, Reg. No. 36,172; William W. Kidd, Reg. No. 31,772; Michael J. Mallie, Reg. No. 36,591; Andre L. Marais, under 37 C.F.R. § 10.9(b); Paul A. Mendonsa, Reg. No. 42,879; Darren J. Milliken, Reg. No. 42,004; Thinh V. Nguyen, Reg. No. 42,034; Kimberley G. Nobles, Reg. No. 38,255; Michael A. Proksch, Reg. No. 43,021; Babak Redjaian, Reg. No. 42,096; James H. Salter, Reg. No. 35,668; William W. Schaal, Reg. No. 39,018; James C. Scheller, Reg. No. 31,195; Anand Sethuraman, Reg. No. P43,351; Charles E. Shemwell, Reg. No. 40,171; Maria McCormack Sobrino, Reg. No. 31,639; Stanley W. Sokoloff, Reg. No. 25,128; Allan T. Sponseller, Reg. No. 38,318; Judith A. Szepesi, Reg. No. 39,393; Vincent P. Tassinari, Reg. No. 42,179; Edwin H. Taylor, Reg. No. 25,129; George G. C. Tseng, Reg. No. 41,355; Lester J. Vincent, Reg. No. 31,460; John Patrick Ward, Reg. No. 40,216; Stephen Warhola, Reg. No. 43,237; Charles T. J. Weigell, Reg. No. 43,398; Ben J. Yorks, Reg. No. 33,609; and Norman Zafman, Reg. No. 26,250; my attorneys, and James A. Henry, Reg. No. 41,064; Daniel E. Ovanezian, Reg. No. 41,236; Glenn E. Von Tersch, Reg. No. 41,364; and Chad R. Walsh, Reg. No. 43,235; my patent agents, of BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP, with offices located at 12400 Wilshire Boulevard, 7th Floor, Los Angeles, California 90025, telephone (310) 207-3800, and James R. Thein, Reg. No. 31,710, my patent attorney; with full power of substitution and revocation, to prosecute this application and to transact all business in the Patent and Trademark Office connected herewith.

Send correspondence to Lester J. Vincent, BLAKELY, SOKOLOFF, TAYLOR &  
(Name of Attorney or Agent)  
ZAFMAN LLP, 12400 Wilshire Boulevard 7th Floor, Los Angeles, California 90025 and direct  
telephone calls to Lester J. Vincent, (408) 720-8598.  
(Name of Attorney or Agent)

I hereby declare that all statements made herein of my own knowledge are true and that all  
statements made on information and belief are believed to be true; and further that these  
statements were made with the knowledge that willful false statements and the like so made are  
punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States  
Code and that such willful false statements may jeopardize the validity of the application or any  
patent issued thereon.

Full Name of Sole/First Inventor Kirk Dow Sanders

Inventor's Signature \_\_\_\_\_ Date \_\_\_\_\_

Residence San Jose, CA \_\_\_\_\_ Citizenship U.S.A. \_\_\_\_\_  
(City, State) (Country)

Post Office Address 1576 Danromas Way  
San Jose, CA 95129

Full Name of Second/Joint Inventor Wing Cheong Chau

Inventor's Signature \_\_\_\_\_ Date \_\_\_\_\_

Residence Los Altos Hills, CA \_\_\_\_\_ Citizenship U.S.A. \_\_\_\_\_  
(City, State) (Country)

Post Office Address 25811 Estacada Drive  
Los Altos

Full Name of Third/Joint Inventor \_\_\_\_\_

Inventor's Signature \_\_\_\_\_ Date \_\_\_\_\_

Residence \_\_\_\_\_ Citizenship \_\_\_\_\_  
(City, State) (Country)

Post Office Address \_\_\_\_\_

Full Name of Fourth/Joint Inventor \_\_\_\_\_

Inventor's Signature \_\_\_\_\_ Date \_\_\_\_\_

Residence \_\_\_\_\_ Citizenship \_\_\_\_\_  
(City, State) (Country)

Post Office Address \_\_\_\_\_

Full Name of Fifth/Joint Inventor \_\_\_\_\_

Inventor's Signature \_\_\_\_\_ Date \_\_\_\_\_

Residence \_\_\_\_\_ Citizenship \_\_\_\_\_  
(City, State) (Country)

Post Office Address \_\_\_\_\_  
\_\_\_\_\_

Full Name of Sixth/Joint Inventor \_\_\_\_\_

Inventor's Signature \_\_\_\_\_ Date \_\_\_\_\_

Residence \_\_\_\_\_ Citizenship \_\_\_\_\_  
(City, State) (Country)

Post Office Address \_\_\_\_\_  
\_\_\_\_\_

Full Name of Seventh/Joint Inventor \_\_\_\_\_

Inventor's Signature \_\_\_\_\_ Date \_\_\_\_\_

Residence \_\_\_\_\_ Citizenship \_\_\_\_\_  
(City, State) (Country)

Post Office Address \_\_\_\_\_  
\_\_\_\_\_

Title 37, Code of Federal Regulations, Section 1.56  
Duty to Disclose Information Material to Patentability

(a) A patent by its very nature is affected with a public interest. The public interest is best served, and the most effective patent examination occurs when, at the time an application is being examined, the Office is aware of and evaluates the teachings of all information material to patentability. Each individual associated with the filing and prosecution of a patent application has a duty of candor and good faith in dealing with the Office, which includes a duty to disclose to the Office all information known to that individual to be material to patentability as defined in this section. The duty to disclosure information exists with respect to each pending claim until the claim is cancelled or withdrawn from consideration, or the application becomes abandoned. Information material to the patentability of a claim that is cancelled or withdrawn from consideration need not be submitted if the information is not material to the patentability of any claim remaining under consideration in the application. There is no duty to submit information which is not material to the patentability of any existing claim. The duty to disclose all information known to be material to patentability is deemed to be satisfied if all information known to be material to patentability of any claim issued in a patent was cited by the Office or submitted to the Office in the manner prescribed by §§1.97(b)-(d) and 1.98. However, no patent will be granted on an application in connection with which fraud on the Office was practiced or attempted or the duty of disclosure was violated through bad faith or intentional misconduct. The Office encourages applicants to carefully examine:

(1) Prior art cited in search reports of a foreign patent office in a counterpart application, and

(2) The closest information over which individuals associated with the filing or prosecution of a patent application believe any pending claim patentably defines, to make sure that any material information contained therein is disclosed to the Office.

(b) Under this section, information is material to patentability when it is not cumulative to information already of record or being made or record in the application, and

(1) It establishes, by itself or in combination with other information, a prima facie case of unpatentability of a claim; or

(2) It refutes, or is inconsistent with, a position the applicant takes in:

(i) Opposing an argument of unpatentability relied on by the Office, or

(ii) Asserting an argument of patentability.

A prima facie case of unpatentability is established when the information compels a conclusion that a claim is unpatentable under the preponderance of evidence, burden-of-proof standard, giving each term in the claim its broadest reasonable construction consistent with the specification, and before any consideration is given to evidence which may be submitted in an attempt to establish a contrary conclusion of patentability.

(c) Individuals associated with the filing or prosecution of a patent application within the meaning of this section are:

(1) Each inventor named in the application;

(2) Each attorney or agent who prepares or prosecutes the application; and

(3) Every other person who is substantively involved in the preparation or prosecution of the application and who is associated with the inventor, with the assignee or with anyone to whom there is an obligation to assign the application.

(d) Individuals other than the attorney, agent or inventor may comply with this section by disclosing information to the attorney, agent, or inventor.